Sedimentation basins (“clarifiers”)
(Nazaroff & Alvarez-Cohen, Section 6.C.1)
(Mihelic & Zimmerman, Section 10.7, augmented)

Sedimentation basins, also called settling tanks or clarifiers, are large tanks in which water is made to flow very slowly in order to promote the sedimentation of particles or flocs.

In water and wastewater treatment plants, these are so large that they are situated outdoor and usually have an open surface.

Sedimentation basins come in two shapes, rectangular and circular.

(Taken from D.S. Sarai, 2006)
A rectangular settling tank

A circular settling tank

Key parameters are:

- **H** = depth of settling zone
- **L** = length of settling zone
- **W** = width of settling zone
- **V** = volume of settling zone
- **Q** = volumetric flowrate
- **u** = flow speed
- **θ** = transit time = hydraulic retention time

Relations are:

\[ \theta = \frac{L}{u} = \frac{HLW}{Q} = \frac{V}{Q} \]

Consider what goes on in a rectangular settling basin.
If a particle settles with vertical speed $v$, its vertical fall over the length of the tank is

$$h = v \theta = \frac{L}{u}$$

This length $h$ is either longer than the settling depth $H$ or it is not.

- If $h \geq H$, then the particle hits the bottom before the end of the tank and is collected.
- If $h < H$, then the particle may or may not hit the bottom, depending on the level at which it starts. If it starts close to the bottom, it will settle on the bottom, but if it starts too high it will won’t fall down enough and will escape with the outflow.

It is easy to show that, if $h < H$, the particles in the lowest $h$ portion of the tank are collected and that those starting within the top $H - h$ portion do not get collected.

This leads us to define a critical settling speed, namely the settling speed of the particles that get barely all collected.

$$h = H \quad \text{for} \quad v_c = \frac{H}{\theta} = \frac{H u}{L}$$

In terms of the volumetric flowrate

$$v_c = \frac{Q}{L WH} = \frac{Q}{WL} = \frac{Q}{A}$$

At settling $v = v_c$, the top particle just makes it to the bottom of the tank

This critical speed is called the **overflow rate**.

Note how in this definition, $Q$ is not divided by the cross-sectional area $WH$ but by the horizontal area (footprint) of the tank, $WL = A$. 
Collecting efficiency:

For particles settling with speed \( v \) faster than \( v_c \), the collection efficiency is 100%.

For particles settling with speed \( v \) slower than \( v_c \), the collection efficiency is

\[
\eta = \frac{h}{H} \quad (100\%)
\]

\[
\eta = \frac{h}{H} = \frac{vL}{aH} = \frac{v}{v_c} \quad (<1)
\]

And, how does it work in a circular sedimentation tank?

The radial velocity \( u \) varies the radius \( r \), decreasing so that the volumetric flow through the enlarging cross-section remains constant:

\[
u = \frac{Q}{2\pi r H}
\]

The slope of the settling curve follows the equation

\[
\frac{dh}{dr} = \frac{v}{u} = \frac{2\pi r H v}{Q} \Rightarrow h = \frac{(\pi R_{\text{outer}}^2 - \pi R_{\text{inner}}^2)Hv}{Q} = AHv
\]

The collecting efficiency is

\[
\eta = \frac{h}{H} = \frac{A}{Q} = \frac{v}{(Q/A)} = \frac{v}{v_c} \quad \text{same as for the rectangular tank!}
\]
Typical design values for sedimentation basins

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Range</th>
<th>Typical values</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>RECTANGULAR BASIN</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Length</td>
<td>15 – 90</td>
<td>25 – 40</td>
<td>m</td>
</tr>
<tr>
<td>Depth</td>
<td>3 – 5</td>
<td>3.5</td>
<td>m</td>
</tr>
<tr>
<td>Width</td>
<td>3 – 24</td>
<td>6 – 10</td>
<td>m</td>
</tr>
<tr>
<td>CIRCULAR BASIN</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Diameter</td>
<td>4 – 60</td>
<td>12 – 45</td>
<td>m</td>
</tr>
<tr>
<td>Depth</td>
<td>3 – 5</td>
<td>4.5</td>
<td>m</td>
</tr>
<tr>
<td>WATER TREATMENT</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Overflow rate</td>
<td>35 – 110</td>
<td>40 – 80</td>
<td>m/day</td>
</tr>
<tr>
<td>WASTEWATER TREATMENT</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Overflow rate</td>
<td>10 – 60</td>
<td>16 – 40</td>
<td>m/day</td>
</tr>
</tbody>
</table>

(Source: Tchobanoglous & Schroeder, 1985)

Important remark on performing an efficiency analysis
(not in the textbook)

The answer depends on your assumptions! So, be careful on how you set up your analysis.

Let us explore three different cases:

1. No mixing at all
2. Transverse mixing only
3. Thorough mixing.

1. No mixing at all: In this case, the water flow is smoothly proceeding from upstream to downstream, and particles gently settle downward along the way. The assumption is that there is no turbulence capable of kicking water or particles upward or backward.

The analysis performed earlier applies and we find

\[ \eta = \begin{cases} 1 & \text{if } v \geq v_c \\ \frac{v}{v_c} & \text{if } v < v_c \end{cases} \]
2. **Transverse mixing only**: In this case we consider that the flow creates some turbulence capable of stirring the fluid vertically and crosswise (the short dimensions of the basin). Particles may be kicked upward and sideways randomly, but not forward or backward. This is the so-called **Plug Flow Reactor** (PFR). To consider this situation, we do a control-volume budget for a slice of length $dx$ along the flow, depth $H$ and width $W$.

The analysis is similar to the one we performed for the electrostatic precipitator. 

$$V \frac{dC}{dx} = (uHW) C(x) - (uHW) C(x + dx) - (\nu W dx) C\left( x + \frac{dx}{2} \right)$$

In steady state, this can be rewritten as

$$uHW \frac{C(x + dx) - C(x)}{dx} = -\nu W C \Rightarrow \frac{dC}{dx} = -\frac{\nu}{uH} C$$

and solved

$$C(x) = C(0) \exp\left( \frac{-\nu x}{uH} \right) \Rightarrow C(L) = C(0) \exp\left( -\frac{\nu L}{uH} \right) = C(0) \exp\left( -\frac{\nu}{v_c} \right)$$

The efficiency is

$$\eta = \frac{C_{in} - C_{out}}{C_{in}} = 1 - \frac{C(L)}{C(0)} = 1 - \exp\left( -\frac{\nu}{v_c} \right)$$

This is a function that varies from zero at $\nu = 0$ to 1 as $\nu$ tends toward infinity.

The value for $\nu = v_c$ is $\eta = 1 - \exp(-1) = 0.632 = 63.2\%$.

63.2% is less than the 100% obtained under quiet conditions.
3. **Thorough mixing:** In this case, we consider the entire basin as well-mixed not only vertically and transversely but also longitudinally (turbulence can now kick particles in any of the three dimensions of space). This is the *Continuously Mixed Flow Reactor* (CMFR). The analysis proceeds with a single-volume budget for the whole basin

\[
\frac{dV}{dt} = Q \frac{\partial C}{\partial t} + Q C_{in} - Q C_{out} - (vWL)C
\]

In steady-state balance with \( C_{out} = C \) of inside, we have

\[
C_{out} = C = \frac{Q}{Q + vWL} C_{in} = \frac{1}{1 + \frac{v}{v_c}} C_{in}
\]

and the efficiency is

\[
\eta = \frac{C_{in} - C_{out}}{C_{in}} = 1 - \frac{C_{out}}{C_{in}} = 1 - \frac{1}{1 + \frac{v}{v_c}} = \frac{v}{v + v_c}
\]

Again, this is a function that starts at zero and levels off to one. For \( v = v_c \), the efficiency is \( 1/(1+1) = 1/2 = 50\% \).

**Comparison of the three efficiencies**

Needless to say, the quiet settling tank is the one that gives the best efficiency and the thoroughly mixed one the worst efficiency.
A complication

In settling analysis and design, the particle distribution is usually not given. What is known instead is the outcome of a lab test with a settling column.

A 1- to 2-m long column is filled with the turbid water and is left unperturbed in the vertical position for some time. During this time, particles fall down and accumulate on the bottom. The amount of mass collected on the bottom is measured in the course of time, yielding data of the type:

<table>
<thead>
<tr>
<th>Elapsed time (minutes)</th>
<th>Mass collected at bottom (mg)</th>
<th>Fraction of mass (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>9.7</td>
<td>4%</td>
</tr>
<tr>
<td>10</td>
<td>46</td>
<td>19%</td>
</tr>
<tr>
<td>15</td>
<td>92</td>
<td>38%</td>
</tr>
<tr>
<td>20</td>
<td>131</td>
<td>54%</td>
</tr>
<tr>
<td>30</td>
<td>186</td>
<td>77%</td>
</tr>
<tr>
<td>60</td>
<td>227</td>
<td>94%</td>
</tr>
<tr>
<td>∞</td>
<td>242</td>
<td>100%</td>
</tr>
</tbody>
</table>

The complication arises from the fact that particles are not sorted by size with the bigger ones (those falling faster) being collected first and the smaller ones (those taking more time to fall) being collected afterwards.

Rather, all types of particles are collected immediately, because some of the smaller ones happen to be near the bottom and settle pretty quickly. What we see arriving at the bottom is a mix of particles, initially made up of many big ones and some small ones, later fewer big ones and more small ones, and ultimately small ones only. In other words, the proportion in the mix changes over time.

In this situation it is helpful to plot the mass fraction of particles settled as a function of the inverse of time, as done in this figure.
In time $t$, vertical distance covered is $vt$.

If $vt < H$, then fraction $vt/H$ has been collected;
If $vt > H$, then 100% has settled,

There is a distribution of particles with various settling velocities.

Define: $m(v)$ as the probability density distribution.
Put another way, $m(v)\,dv = \text{mass fraction of particles with settling speed between } v \text{ and } v+dv$.

The fraction collected at the bottom of the lab column as a function of time is:

$$f(t) = \int_0^t \left( \frac{\text{fraction settled}}{\text{mass fraction present}} \right) \times (\text{mass fraction present})$$

$$= \int_0^{vt/H} \frac{vt}{H} m(v)\,dv + \int_{vt/H}^\infty m(v)\,dv$$

Note: $f(t = 0) = 0$ and $f(t = \infty) = 1$

We do not know $m(v)$ but our lab experiment has given us $f(t)$.

Now, change variable from time $t$ to pseudo-velocity $w = H/t$:

$$f(w) = \int_0^w \frac{v}{w} m(v)\,dv + \int_w^\infty m(v)\,dv$$

Note: $f(w = 0) = 1$ and $f(w = \infty) = 0$

For the actual sedimentation tank, the collection efficiency is given by

$$\eta = \int_0^\infty \eta(v) m(v)\,dv \quad \text{with } \eta(v) = \frac{v}{v_c} \text{ for } v < v_c$$

$$= \int_0^{v_c} \frac{v}{v_c} m(v)\,dv + \int_{v_c}^\infty m(v)\,dv$$

Compare this result to:

$$f(w) = \int_0^w \frac{v}{w} m(v)\,dv + \int_w^\infty m(v)\,dv$$

We note that $\eta$ is none other than $f$ taken at $w = v_c$. 
For the data given earlier, and with $v$ calculated as follows:

$$w = \frac{\text{falling distance}}{\text{falling time}} = \frac{1.5 \text{ m}}{5, 10, \ldots \text{ or 60 min}}$$

the curve is

Now suppose that these data were collected for the following application:
- Dimensions of rectangular settling tank: $H = 2$ m, $W = 4$ m and $L = 12$ m
- Flow rate $Q = 2$ m$^3$/min.

The corresponding overflow rate is:

$$A = LW = (12 \text{ m})(4 \text{ m}) = 48 \text{ m}^2 \Rightarrow v_c = \frac{Q}{A} = \frac{2 \text{ m}^3/\text{min}}{48 \text{ m}^2} = 0.0417 \text{ m/min}$$

From the graph, we determine the $f_c$ value by interpolation

$$f_c = f_1 + \frac{v_c - v_{W_1}}{W_2 - W_1} (f_2 - f_1) = 0.827$$

Thus, this clarifier removes 82.7% of the suspended solids.

**Note:** The tank depth $H$ does not matter. How come?